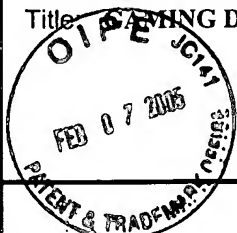


*IFW*

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 112300-1636	
In Re Application Of: Webb et al.					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/651,685	August 28, 2003	Unknown	29159	3713	9215
Title: <b>ARMING DEVICE HAVING COMPETING POSITIVE AND NEGATIVE OUTCOME EVENTS</b>					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1636

In Re Application: Webb et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/651,685	August 28, 2003	Unknown	29159	3713	9215

Title: **8165 CASING DEVICE HAVING COMPETING POSITIVE AND NEGATIVE OUTCOME EVENTS**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 2, 2005

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Heather Foster

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: February 2, 2005

Adam H. Masia (Reg. No. 35,602)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4284

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Webb et al.  
Appl. No.: 10/651,685  
Conf. No.: 9215  
Filed: August 28, 2003  
Title: GAMING DEVICE HAVING COMPETING POSITIVE AND NEGATIVE  
OUTCOME EVENTS  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 112300-1636

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,182,515	January 8, 1980	Nemeth
4,621,814	November 11, 1986	Stepan et al.
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,838,552	June 13, 1989	Hagiwara
4,991,848	February 12, 1991	Greenwood et al.
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5,364,100	November 15, 1994	Ludlow et al.
5,423,539	June 13, 1995	Nagao
5,456,465	October 10, 1995	Durham

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,584,763	December 17, 1996	Kelly et al.
5,611,535	March 18, 1997	Tiberio
5,722,891	March 3, 1998	Inoue
5,779,544	July 14, 1998	Seelig et al.
5,823,874	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
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6,159,098	December 12, 2000	Slomiany et al.
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6,302,790 B1	October 16, 2001	Brossard
6,328,649 B1	December 11, 2001	Randall et al.
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6,347,966 B1	February 19, 2002	Gilmore et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,398,218 B1	June 4, 2002	Vancura
6,406,369 B1	June 18, 2002	Baerlocher et al.
6,413,161 B1	July 2, 2002	Baerlocher
6,413,162 B1	July 2, 2002	Baerlocher et al.
6,425,824 B1	July 30, 2002	Baerlocher et al.
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6,558,253 B1	May 6, 2003	DeSimone et al.
6,565,436 B1	May 20, 2003	Baerlocher
6,569,016 B1	May 27, 2003	Baerlocher
6,582,306 B1	June 24, 2003	Kaminkow
6,609,971 B2	August 26, 2003	Vancura
6,609,972 B2	August 26, 2003	Seelig et al.
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EP 0688002 A1	December 20, 1995	Europe

EP 0874337 A1	October 28, 1998	Europe
EP 0926645 A2	June 30, 1999	Europe
EP 0944030 A2	September 22, 1999	Europe
EP 0945837 A2	September 29, 1999	Europe
GB 2,161,008 A	January 2, 1986	United Kingdom
WO 0012186	March 9, 2000	PCT

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American Thunder Screen Shots written by IGT, published in 1998.

Bonus Times written by Bally Gaming , published in July 2000.

Jazzy Jackpots Article written by Strictly Slots, published in March 2001.

Little Green Men, Jr. Article written by Strictly Slots, published in January 2003.

Neon Nights Advertisement written by IGT, published in 2000.

Polly & Roger Brochure written by VLC, Inc., published in 2000.

Slot Machines A Pictorial History of the First 100 Years, 5<sup>th</sup> edition [In Part], written by Marshall Fey, published 1983 to 1997, on or before December thereof.

Texas Teas Advertisement written by IGT, published in 2000.

Top Cat Brochure written by WMS Gaming, Inc., published date not known.

Top Dollar Brochure written by IGT, published in 1998.

Treasure Tunnel, Treasure Wheel Brochure written by Sigma Game, Inc., published prior to 2001.

Wheel of Fortune Advertisement written Barcrest Ltd., published in 1995.

Wheel of Fortune Video Advertisement written by IGT, published in 1999.

X Factor Brochure written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Adam H. Masia  
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Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Dated: February 2, 2005

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.  
112300-1636

Application No.  
10/651,685

Applicants  
Webb et al.

Filing Date  
August 28, 2003

Group  
3713

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,182,515	January 8, 1980	Nemeth			
	4,621,814	November 11, 1986	Stepan et al.			
	4,624,459	November 25, 1986	Kaufman			
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	6,019,369	February 1, 2000	Nakagawa et al.			
	6,033,307	March 7, 2000	Vancura			
	6,059,289	May 9, 2000	Vancura			

Examiner:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-1636	Application No. 10/651,685
	Applicants Webb et al.	
	Filing Date August 28, 2003	Group 3713

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		6,089,978	July 18, 2000	Adams			
		6,102,798	August 15, 2000	Bennett			
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		6,159,098	December 12, 2000	Slomiany et al.			
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		6,514,141 B1	February 4, 2003	Kaminkow et al.			
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		6,569,016 B1	May 27, 2003	Baerlocher			
		6,582,306 B1	June 24, 2003	Kaminkow			
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		6,609,972 B2	August 26, 2003	Seelig et al.			
		6,631,141 B1	October 7, 2003	Kumar et al.			

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-1636	Application No. 10/651,685
	Applicants Webb et al.	
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U.S. PATENT DOCUMENTS							
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		6,669,559 B1	December 30, 2003	Baerlocher et al.			
		6,688,975 B2	February 10, 2004	Baerlocher et al.			
		6,692,355 B2	February 17, 2004	Baerlocher et al.			
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		6,776,717 B2	August 17, 2004	Shinohara et al.			
		6,786,820 B2	September 7, 2004	Gerrard et al.			
		6,796,899 B2	September 28, 2004	Baerlocher			
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		2003/0045350 A1	March 6, 2003	Baerlocher et al.			

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		EP 0688002 A1	December 20, 1995	Europe				
		EP 0874337 A1	October 28, 1998	Europe				
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		EP 0944030 A2	September 22, 1999	Europe				
		EP 0945837 A2	September 29, 1999	Europe				
		GB 2,161,008 A	January 2, 1986	United Kingdom				
		WO 0012186	March 9, 2000	PCT				

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		American Thunder Screen Shots written by IGT, published in 1998.
		Bonus Times written by Bally Gaming, published in July 2000.

Examiner:	Date Considered:
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